The Lattice of Propositional Proof Systems

Jan Johannsen

Preliminary Notes

Proof systems form a lattice

Propositional Proof systems form a lattice under *p*-simulation. Namely, the infimum of two proof systems F and G is the proof system H where a proof of φ in H is a pair consisting of two proofs of φ , one in F and G each. More formally, let $F \sqcap G$ be the function

$$F \sqcap G := p \mapsto \begin{cases} \varphi & \text{if } p = (p_1, p_2) \text{ and } F(p_1) = G(p_2) = \varphi \\ 1 & \text{otherwise} \end{cases}$$

Then it is easily seen that $F \sqcap G \leq_p F$ and $F \sqcap G \leq_p G$, and every proof system H with $H \leq_p F$ and $H \leq_p G$ is p-simulated by $F \sqcap G$, $H \leq_p F \sqcap G$. Similarly, the supremum of F and G is the proof system where a proof is either a proof in F or a proof in G, together with a marker which of both it is, formally

$$F_0 \sqcup F_1 := p \mapsto \begin{cases} \varphi & \text{if } p = (i, p') \text{ for } i = 0, 1 \text{ and } F_i(p') = \varphi \\ 1 & \text{otherwise} \end{cases}$$

It is again easily seen that $F \leq_p F \sqcup G$, $G \leq_p F \sqcup G$ and $F \sqcup G \leq_p H$ for each proof system H that p-simulates F and G.

Some examples and conjectures

From [4] we know that CP and constant-depth Frege proofs (F_d) are incompatible w.r.t. *p*-simulation. Hence the question arises whether the infimum and supremum of these proof systems could be *p*-equivalent to some natural proof systems.

Now resolution is a lower bound for $CP \sqcap F_d$, but it is properly weaker: The weak pigeonhole principle PHP_n^{2n} has quasipolynomial size F_d proofs, and

its negation has polynomial size CP refutations. Hence it has quasipolynomial size proofs in $CP \sqcap F_d$, whereas resolution refutations of $\neg PHP_n^{2n}$ have to be of exponential size.

An upper bound for the $CP \sqcup F_d$ are constant depth proofs in PTK of [2]. We conjecture that this is not the least upper bound. To prove that, we have to find a family of tautologies having polynomial size, constant depth proofs in PTK, and give superpolynomial lower bounds for proofs of them in CP and F_d .

By the results of [3, 1], another pair of incomparable proof systems are daglike Resolution (R) and tree-like Cutting Planes (CP_{tree}) . Obvious upper and lower bounds for their infimum and supremum are

 $R_{tree} \leq_p R_{dag} \sqcap CP_{tree}$ and $R_{dag} \sqcap CP_{tree} \leq_p CP_{dag}$.

But we conjecture that both are not optimal, i.e.

- there are sets of clauses that have polynomial size dag-like resolution refutations as well as tree-like CP refutation, but a superpolynomial lower bound for tree-like resolution, and
- there are sets of clauses that have polynomial size dag-like CP refutations, but superpolynomial lower bounds for dag-like resolution as well as tree-like CP.

References

- M. L. Bonet, J. L. Esteban, N. Galesi, and J. Johannsen. On the relative complexity of resolution restrictions and cutting planes proof systems. Accepted for Publication in SIAM J. on Computing. Preliminary version in Proc. 39th Symposium on Foundations of Computer Science, 1998, 2000.
- [2] S. R. Buss and P. Clote. Cutting planes, connectivity and threshold logic. Archive for Mathematical Logic, 35:33-62, 1995.
- [3] J. Johannsen. Lower bounds for monotone real circuit depth and formula size and tree-like cutting planes. *Information Processing Letters*, 67:37– 41, 1998.
- [4] P. Pudlák. Lower bounds for resolution and cutting plane proofs and monotone computations. Journal of Symbolic Logic, 62:981–998, 1997.