# A Note on Sharply Bounded Arithmetic 

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January 24, 1994


#### Abstract

We prove some independence results for the bounded arithmetic theory $R_{2}^{0}$, and we define a class of functions that is shown to be an upper bound for the class of functions definable by a certain restricted class of $\Sigma_{1}^{b}$-formulae in extensions of $R_{2}^{0}$.


## Introduction

We deal with fragments of the theory $S_{2}$ of Bounded Arithmetic of Buss [1], and assume that the reader is familiar with this work. Just like among the fragments of Peano Arithmetic, the weak fragments below $I \Sigma_{1}$ are the most interesting ones, the bottom levels of the various hierarchies of subtheories of $S_{2}$ leave a lot of seemingly difficult open questions. So e.g. the question whether $\Sigma_{0}^{b}-P I N D$ and $\Sigma_{0}^{b}-L I N D$ are equivalent over the BASIC axioms, or even whether $S_{2}^{0}$ is a subtheory of $T_{2}^{0}$, are - to the author's knowledge - not answered yet. We know, however, from [5] that if $S_{2}^{0}$ is included in $T_{2}^{0}$, then the inclusion is proper. In this paper we consider fragments slightly stronger than $S_{2}^{0}$, but weaker than $T_{2}^{0}$.

In [4], we defined the extension $S_{2^{+}}^{0}$ of $S_{2}^{0}$, which has the additional function symbols $P$ (for the predecessor), - , MSP and Count, where $\operatorname{MSP}(a, i)$ is the number obtained by cutting off the last $i$ bits of $a$, and $\operatorname{Count}(a)$ is the number of bits set in the binary expansion of $a$. The axioms of $S_{2+}^{0}$ are the BASIC axioms of [1] together with the following axioms on the new function symbols

- $P 0=0, P(S x)=x, x>0 \rightarrow S(P x)=x$
- $x-0=x, \quad x-S y=P(x-y), \quad x \geq y \rightarrow(x-y)+y=x, \quad x<y \rightarrow x-y=0$
- $\operatorname{MSP}(x, 0)=x, \quad \operatorname{MSP}(x, S i)=\left\lfloor\frac{1}{2} M S P(x, i)\right\rfloor$
- $\operatorname{Count}(0)=0, \operatorname{Count}(2 x)=\operatorname{Count}(x), \operatorname{Count}(S(2 x))=S(\operatorname{Count}(x))$
and $\Sigma_{0}^{b}-P I N D$ (for sharply bounded formulae in the extended language). For $S_{2^{+}}^{0}$, we have the following independence results:

Theorem 1 The function $\left\lfloor\frac{1}{3} x\right\rfloor$ cannot be $\Sigma_{1}^{b}$-defined in $S_{2+}^{0}$. Furthermore, there are even functions in the complexity class $A C^{0}$ not $\Sigma_{1}^{b}$-definable in $S_{2^{+}}^{0}$.

Proof: We give a sketch of the proof, for details see [4]. We interpret $S_{2+}^{0}$ in $S_{2}$ as follows: The domain of the interpretation are the sequence numbers of sequences in which every term is positive. The empty sequence interprets 0 , and if $\left\langle a_{1}, \ldots, a_{n}\right\rangle$ interprets $a$, then $\left\langle a_{1}, \ldots, a_{n}, a_{n+1}\right\rangle$ interprets $a \cdot 2^{a_{n+1}}$ if $n$ is odd and $(a+1) \cdot 2^{a_{n+1}}-1$ if $n$ is even. Then the interpretations of the primitive functions of $S_{2^{+}}^{0}$ are polynomial time computable and hence $\Sigma_{1}^{b}$-defined in $S_{2}$, and $S_{2}$ proves the interpretation of every theorem of $S_{2^{+}}^{0}$.
Now the sequence $\langle n+1\rangle$ interprets $2^{n+1}-1$, and the interpretation of $\left\lfloor\frac{1}{3}\left(2^{n+1}-1\right)\right\rfloor$ is $\langle 1, \ldots, 1\rangle$, a sequence of length $n$ with a sequence number greater than $2^{n}$. Thus the provability of the interpretation of $\forall x \exists y y=\left\lfloor\frac{1}{3} x\right\rfloor$ in $S_{2}$ would contradict Parikh's Theorem. The same holds if we consider the function $\left\lfloor\frac{1}{3}\left(2^{|x|}-1\right)\right\rfloor$ instead, which is easily seen to be in $A C^{0}$.

For many purposes, the LIND axioms are more convenient than the PIND axioms. Therefore let $L_{2^{+}}^{0}$ be like $S_{2^{+}}^{0}$, only with $\Sigma_{0}^{b}-P I N D$ replaced by $\Sigma_{0}^{b}-L I N D$. Then we have

Proposition $2 S_{2+}^{0}$ and $L_{2+}^{0}$ are equivalent.
The proofs of the analogous statements (Thms. 2.6 and 2.12) in [1] can be carried out in exactly the same way in our case. To prove $L I N D$ for a formula $A(x)$ in $S_{2^{+}}^{0}$, use PIND on the formula $A(|x|)$. Similarly, to prove PIND for $B(x)$ in $L_{2^{+}}^{0}$, use LIND on $x$ in the formula $B(\operatorname{MSP}(a,|a|-x))$.

## The theory $R_{2}^{0}$

In [6], the theories $R_{2}^{i}$ in the language of $S_{2}$ augmented by - and $M S P$ were defined. $R_{2}^{i}$ is axiomatized by the BASIC axioms, the above axioms for - and $M S P$, the extensionality axiom

$$
|a|=|b| \wedge \forall i<|a|(\operatorname{Bit}(a, i)=\operatorname{Bit}(b, i)) \rightarrow a=b,
$$

where Bit is defined by $\operatorname{Mod} 2(a):=a \div 2\left\lfloor\frac{1}{2} a\right\rfloor$ and $\operatorname{Bit}(a, i):=\operatorname{Mod} 2(\operatorname{MSP}(a, i))$, and the $\Sigma_{i}^{b}-L B I N D$ axioms

$$
A(0) \wedge \forall x\left(A\left(\left\lfloor\frac{1}{2} x\right\rfloor\right) \rightarrow A(x)\right) \rightarrow \forall x A(|x|)
$$

for every $\Sigma_{i}^{b}$ formula $A(x)$. $R_{2}^{1}$ corresponds to the complexity class $N C$, since in [6] it is shown that $R_{2}^{1}$ is equivalent to the theory $T N C$ of $[3]$, whose $\Sigma_{1}^{b}$-definable functions are exactly those in $N C$.
We shall mainly be interested in $R_{2}^{0}$, since our results about $S_{2+}^{0}$ can be applied to this theory. What is needed for this application is the following

Theorem 3 The extensionality axiom can be proved in $S_{2^{+}}^{0}$.
Proof: Let $B(x)$ be the formula

$$
\left.\left.\begin{array}{rl}
|a|=|b| \wedge \forall i \leq|a|(i & \leq x \rightarrow \operatorname{Bit}(a,|a|-i)
\end{array}\right) \operatorname{Bit}(b,|a| \dot{-} i)\right), ~=\operatorname{MSP}(a,|a|-x)=\operatorname{MSP}(b,|a| \dot{-}) .
$$

Then we can trivially prove $B(0)$ in $R_{2}^{0}$. Now suppose $B(x)$, and furthermore suppose

$$
\forall i \leq|a|(i \leq S x \rightarrow \operatorname{Bit}(a,|a|-i)=\operatorname{Bit}(b,|a| \doteq i))
$$

The latter formula is equivalent to the conjunction of $\forall i \leq|a|(i \leq x \rightarrow \operatorname{Bit}(a,|a|-i)=$ $\operatorname{Bit}(b,|a|-i))$ and $\operatorname{Bit}(a,|a|-S x)=\operatorname{Bit}(b,|a|-S x)$, and by the hypothesis $B(x)$, we conclude $\operatorname{MSP}(a,|a|-x)=\operatorname{MSP}(b,|a|-x)$. The following equations are immediately proved from the definition of Bit without induction:

$$
\begin{aligned}
& M S P(a,|a|-S x)=2 \cdot M S P(a,|a| \dot{-})+\operatorname{Bit}(a,|a| \dot{-} S x) \quad \text { and } \\
& M S P(b,|a|-S x)=2 \cdot M S P(b,|a| \dot{-} x)+\operatorname{Bit}(b,|a| \dot{-} S x)
\end{aligned}
$$

By the above, the terms on the right sides of these equations are equal, hence

$$
M S P(a,|a| \dot{-} S x)=M S P(b,|a| \dot{-} S x)
$$

which proves $B(S x)$. Hence $R_{2}^{0} \vdash B(x) \rightarrow B(S x)$, and by $\Sigma_{0}^{b}-L I N D$ we can conclude $B(|a|)$, which is equivalent to the extensionality axiom.

Corollary 4 The theory obtained from $S_{2^{+}}^{0}$ by omitting the function symbol Count and the axioms containing it is equivalent to $R_{2}^{0}$.

Proof: In [6] it was shown that $R_{2}^{0}$ is equivalent to the theory obtained by adding to $S_{2}^{0}$ the functions - and MSP with their defining axioms and the extensionality axiom. Clearly the function $P$ and the axioms containing it are redundant in $S_{2^{+}}^{0}$, and since in the proof of Thm. 3 the function Count is not used, the claim follows.

By Thm. 1, we know that there are functions in the class $A C^{0}$ which are not $\Sigma_{1}^{b}$ definable in $S_{2^{+}}^{0}$. Obviously, this also holds for the subsystem without the function Count, hence we have

Corollary $5 R_{2}^{0}$ cannot $\Sigma_{1}^{b}$-define every function in $A C^{0}$

The following consequence of Thm. 1 was also observed by G. Takeuti (in a letter to the author).

Theorem $6 S_{2^{+}}^{0}$ does not prove the $\Sigma_{0^{-}}^{b}$-comprehension axioms

$$
\exists y<2^{|a|} \forall i<|a|(\operatorname{Bit}(y, i)=1 \leftrightarrow A(i))
$$

for all sharply bounded formulae $A(i)$.

Proof: The theory $T^{0} A C^{0}$ defined in [2] is essentially the same as $S_{2+}^{0}$ together with the extensionality and $\Sigma_{0}^{b}$-comprehension axioms, but in a language without Count and multiplication, which is replaced by a restricted multiplication of the form $2^{|x|} \cdot y$. Hence if the $\Sigma_{0}^{b}$-comprehension axioms could be proved in $S_{2^{+}}^{0}$, then $T^{0} A C^{0}$ would be a subtheory of $S_{2+}^{0}$.

But by Thm. 33 of [2], the $\Sigma_{1}^{b}$-definable functions of $T^{0} A C^{0}$ are exactly the functions in $A C^{0}$, hence every function in $A C^{0}$ would be $\Sigma_{1}^{b}$-definable in $S_{2+}^{0}$, contrary to Thm. 1 .

Corollary $7 R_{2}^{0}$ does not prove all $\Sigma_{0}^{b}$-comprehension axioms.

Since the class of sharply bounded formulae is closed under negation, this corollary contrasts with the fact (cf. [6]) that for $i \geq 1, R_{2}^{i}$ proves the $\Delta_{i}^{b}$-comprehension axioms

$$
\forall i(A(i) \leftrightarrow \neg B(i)) \rightarrow \exists y<2^{|a|} \forall i<|a|(B i t(y, i)=1 \leftrightarrow A(i))
$$

for every pair of $\Sigma_{i}^{b}$-formulae $A(i)$ and $B(i)$.
The proof of Thm. 3 also shows that the extensionality axiom can be omitted from the theories $T A C^{0}$ and $T^{0} A C^{0}$ of [2] and their extensions.
$p \Sigma_{1}^{b}$-definable functions of $S_{2+}^{0}$ and $R_{2}^{0}$
Following Clote and Takeuti [2], we define the class of pure $\Sigma_{1}^{b}$-formulae, or $p \Sigma_{1}^{b}$ formulae for short, as follows:

Definition: A $p \Sigma_{1}^{b}$-formula is a formula of the form

$$
\exists x_{1} \leq t_{1} \ldots \exists x_{n} \leq t_{n} A\left(x_{1}, \ldots, x_{n}\right)
$$

where $A\left(x_{1}, \ldots, x_{n}\right)$ is sharply bounded. The notion of a $p \Sigma_{1}^{b}$-definable function in a theory $T$ is defined analogous to that of a function being $\Sigma_{1}^{b}$-definable in $T$.

Note that $\Sigma_{1}^{b}$-replacement implies that every $\Sigma_{1}^{b}$-formula is equivalent to a $p \Sigma_{1}^{b}$-formula. In particular, every predicate definable in the standard model by a $\Sigma_{1}^{b}$-formula can also be defined by a $p \Sigma_{1}^{b}$-formula. We expect that the class of $p \Sigma_{1}^{b}$-definable functions in $S_{2^{+}}^{0}$ and $R_{2}^{0}$ does not differ much from the class of $\Sigma_{1}^{b}$-definable functions, although we suspect that $\Sigma_{1}^{b}$-replacement cannot be proved in $S_{2^{+}}^{0}$. Evidence for this is supported by the fact that $S_{2^{+}}^{0}$ does not prove the following weak form of $\Sigma_{1}^{b}$-replacement

$$
\forall x<|a| \exists y \leq 1 B(x, y) \rightarrow \exists y<2^{|a|} \forall i<|a| B(i, \operatorname{Bit}(y, i))
$$

for all sharply bounded $B(x, y)$, since it implies $\Sigma_{0}^{b}$-comprehension: to prove the comprehension axiom for a sharply bounded formula $A(x)$, let $B(x, y): \leftrightarrow(y=1 \leftrightarrow A(x))$ in the above schema ${ }^{1}$.

Definition: Let $f_{1}, \ldots, f_{k}$ be some functions. The class $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ is the smallest class of functions containing

$$
c_{0}^{(0)}, c_{0}^{(1)}, S, \pi_{i}^{(k)},+, \cdot,-,\left\lfloor\frac{1}{2} \cdot\right\rfloor,|\cdot|, \#, M S P \text { and } f_{1}, \ldots, f_{k}
$$

where $c_{0}^{(i)}$ is the $i$-ary constant zero, and $\pi_{i}^{(k)}\left(x_{1}, \ldots, x_{k}\right)=x_{i}$, and closed under composition and sharply bounded minimization, i.e. if $g$ is in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$, then the function

$$
\mu x<|a|(f(x, \underline{b})=0):= \begin{cases}\text { the least } x \text { with } f(x, \underline{b})=0 & \text { if } \exists x<|a| f(x, \underline{b})=0 \\ |a| & \text { else }\end{cases}
$$

is also in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$. If $k=0$, the resulting class is simply called $\mathcal{C}$.
The class $\mathcal{C}[$ Count $]$ is properly contained in the complexity class $N C^{1}=A \log T I M E$, and even in the probably smaller class $T C^{0}$. Furthermore, if in the definition of $\mathcal{C}$ multiplication would be removed from the set of initial functions, then the resulting class would be a proper subclass of $A C^{0}$. But even with multiplication and the function Count, we do not obtain all of $A C^{0}$, i.e. the difference $A C^{0} \backslash \mathcal{C}[$ Count $]$ is non-empty. This can be proved like Thm. 1 by the method of [4]. Therefore we consider the classes $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ as being very small.
We shall show that the $p \Sigma_{1}^{b}$-definable functions of $R_{2}^{0}$ are all in $\mathcal{C}$, and the $p \Sigma_{1}^{b}$-definable functions of $S_{2^{+}}^{0}$ are all in $\mathcal{C}[$ Count $]$. Before we can do this, a little bootstrapping of the classes $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ is needed. As usual, we say that a predicate $A$ is in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ if its characteristic function $\chi_{A}$ is.

Proposition 8 The ordering relation $\leq$ is in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$, and the class of predicates in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ is closed under boolean operations and sharply bounded quantification. Finally, $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ is closed under definition by cases.

[^0]Proof: Define $\overline{g g}(x):=1 \dot{-x}$, then $\chi_{\leq}(x, y):=\overline{s g}(x-y)$. Furthermore, $\overline{s g}$ yields the closure under negation, and closure under conjunction is simply obtained by multiplying the characteristic functions. For closure under quantification, simply note that

$$
\forall x \leq|t| A(x) \quad \Leftrightarrow \quad \mu x<|t|+1 \neg A(x)=|t| .
$$

Finally define the function $f(x)=$ if $A(x)$ then $g_{1}(x)$ else $g_{2}(x)$ by

$$
f(x):=\chi_{A}(x) \cdot g_{1}(x)+\chi_{\neg A}(x) \cdot g_{2}(x) .
$$

By Corollary 4 above, we can think of $R_{2}^{0}$ as the fragment of $S_{2+}^{0}$ without Count, axiomatized in a sequent calculus like defined in [1, Ch. 4] with the $\Sigma_{0}^{b}-L I N D$ rule, and of $S_{2+}^{0}$ as the extension $R_{2}^{0}[$ Count $]$. In general, let $R_{2}^{0}\left[f_{1}, \ldots, f_{k}\right]$ be $R_{2}^{0}$ extended by the function symbols $f_{1}, \ldots, f_{k}$ with some quantifier-free axioms uniquely specifying them in the standard model, and LIND for sharply bounded formulae in the extended language.

By a standard proof theoretic argument, we can assume that every formula in a proof of $\exists y \leq t A(a, y)$ with $A$ a $p \Sigma_{1}^{b}$-formula is $p \Sigma_{1}^{b}$. Therefore our intended result follows from the following witnessing theorem for $p \Sigma_{1}^{b}$-formulae:

Theorem 9 Let $C_{i}(\underline{a})$ be the $p \Sigma_{1}^{b}$-formula

$$
\exists x_{i 1} \leq t_{i 1} \ldots \exists x_{i k_{i}} \leq t_{i k_{i}} A_{i}\left(\underline{x_{i}}, \underline{a}\right),
$$

where $\underline{x_{i}}$ denotes the sequence $x_{i 1}, \ldots, x_{i k_{i}}$, and let $D_{j}(\underline{a})$ be the $p \Sigma_{1}^{b}$-formula

$$
\exists y_{j 1} \leq s_{j 1} \ldots \exists y_{j \ell_{j}} \leq s_{j \ell_{j}} B_{j}\left(\underline{y_{j}}, \underline{a}\right),
$$

and let $R_{2}^{0}\left[f_{1}, \ldots, f_{k}\right]$ prove the following sequent

$$
C_{1}(\underline{a}), \ldots, C_{n}(\underline{a}) \Longrightarrow D_{1}(\underline{a}), \ldots, D_{m}(\underline{a})
$$

where the formulae $A_{i}, B_{j}$ are sharply bounded, and all the free variables in the sequent are among the $\underline{a}$. Then there are functions $g_{i j}, 1 \leq i \leq m, 1 \leq j \leq \ell_{i}$ in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ such that

$$
\begin{aligned}
& b_{11} \leq t_{11}, \ldots, b_{1 k_{1}} \leq t_{1 k_{1}}, A_{1}\left(\underline{b_{1}}, \underline{a}\right), \ldots, b_{m 1} \leq t_{n 1}, \ldots, b_{n k_{n}} \leq t_{n k_{n}}, A_{n}\left(\underline{b_{n}}, \underline{a}\right) \\
& \Longrightarrow \quad g_{11}(\underline{b}, \underline{a}) \leq s_{11} \wedge \ldots \wedge g_{1 \ell_{1}}(\underline{b}, \underline{a}) \leq s_{1 \ell_{1}} \wedge B_{1}\left(g_{11}(\underline{b}, \underline{a}), \ldots, g_{1 \ell_{1}}(\underline{b}, \underline{a}), \underline{a}\right), \ldots \\
& \quad \ldots, g_{m 1}(\underline{b}, \underline{a}) \leq s_{m 1} \wedge \ldots \wedge g_{m \ell_{m}}(\underline{b}, \underline{a}) \leq s_{m \ell_{m}} \wedge B_{m}\left(g_{m 1}(\underline{b}, \underline{a}), \ldots, g_{m \ell_{m}}(\underline{b}, \underline{a}), \underline{a}\right)
\end{aligned}
$$

is satisfied in the standard model, where $\underline{b}$ denotes the sequence of all the variables $b_{i j}$.

Proof: This is an adaption of the proof of Thm. 24 in [2], by induction on the length of a proof of the sequent from the theorem, which we abbreviate $\Gamma \Longrightarrow \Delta$.

If $\Gamma \Longrightarrow \Delta$ is an initial sequent, then there is nothing to prove since we assumed that all the axioms are quantifier-free. Otherwise, we distinguish cases dependent on the last inference of a proof of $\Gamma \Longrightarrow \Delta$. Most cases are straightforward, the only nontrivial ones being ( $\exists \leq$ :right), (Contraction:right), (Cut) and $\Sigma_{0}^{b}-L I N D$. We shall in fact treat only simple cases of these inferences which show the principal ideas, which would be hidden behind technical details in a treatment of the general cases.

So let the last inference in the proof be ( $\exists \leq$ :right) of the form

$$
\frac{\exists x \leq s_{1} A(\underline{a}, x) \Longrightarrow \exists y \leq s_{2} B(\underline{a}, y, t(\underline{a}))}{t(\underline{a}) \leq u, \exists x \leq s_{1} A(\underline{a}, x) \Longrightarrow \exists z \leq u \exists y \leq s_{2} B(\underline{a}, y, z)} .
$$

By the induction hypothesis we have a function $g$ in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ such that

$$
b \leq s_{1}, A(\underline{a}, b) \Longrightarrow g(\underline{a}, b) \leq s_{2} \wedge B(\underline{a}, g(\underline{a}, b), t(\underline{a}))
$$

is true. Then we can simply define the function $h(\underline{a}, b):=t(\underline{a})$, since every term in the language of $R_{2}^{0}\left[f_{1}, \ldots, f_{k}\right]$ is in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$, and obtain

$$
t(\underline{a}) \leq u, b \leq s_{1}, A(\underline{a}, b) \Longrightarrow h(\underline{a}, b) \leq u \wedge g(\underline{a}, b) \leq s_{2} \wedge B(\underline{a}, g(\underline{a}, b), h(\underline{a}, b)) .
$$

Now let the last inference be a (Contraction:right), which we assume for sake of simplicity to look like

$$
\frac{\exists x \leq s A(\underline{a}, x) \Longrightarrow \exists y \leq t B(\underline{a}, y), \exists y \leq t B(\underline{a}, y)}{\exists x \leq s A(\underline{a}, x) \Longrightarrow \exists y \leq t B(\underline{a}, y)} .
$$

By the induction hypothesis, there are functions $g_{1}$ and $g_{2}$ in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ such that

$$
b \leq s, A(\underline{a}, b) \Longrightarrow g_{1}(\underline{a}, b) \leq t \wedge B\left(\underline{a}, g_{1}(\underline{a}, b)\right), g_{2}(\underline{a}, b) \leq t \wedge B\left(\underline{a}, g_{2}(\underline{a}, b)\right)
$$

is true. Define the function $g$ by

$$
g(\underline{a}, b):=\left\{\begin{array}{ll}
g_{1}(\underline{a}, b) & \text { if } g_{1}(\underline{a}, b) \leq t \wedge B\left(\underline{a}, g_{1}(\underline{a}, t)\right) \\
g_{2}(\underline{a}, b) & \text { else }
\end{array} .\right.
$$

By Prop. $8, g$ is in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$, and obviously we have

$$
b \leq s, A(\underline{a}, b) \Rightarrow g(\underline{a}, b) \leq t \wedge B(\underline{a}, g(\underline{a}, t)) .
$$

Now let the last inference be a (Cut), which we assume to look like

$$
\frac{\exists x \leq t A(\underline{a}, x) \Longrightarrow \exists y \leq s B(\underline{a}, y) \quad \exists y \leq s B(\underline{a}, y) \Longrightarrow \exists z \leq u C(\underline{a}, z)}{\exists x \leq t A(\underline{a}, x) \Longrightarrow \exists z \leq u C(\underline{a}, z)}
$$

By the induction hypothesis, there are functions $g_{1}$ and $g_{2}$ in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ such that

$$
\begin{gathered}
b \leq t, A(\underline{a}, b) \Longrightarrow g_{1}(\underline{a}, b) \leq s \wedge B\left(\underline{a}, g_{1}(\underline{a}, b)\right) \quad \text { and } \\
c \leq s, B(\underline{a}, c) \Longrightarrow g_{2}(\underline{a}, c) \leq u \wedge C\left(\underline{a}, g_{2}(\underline{a}, c)\right)
\end{gathered}
$$

are true. Therefore we have

$$
b \leq t, A(\underline{a}, b) \Longrightarrow g_{2}\left(\underline{a}, g_{1}(\underline{a}, b)\right) \leq u \wedge C\left(\underline{a}, g_{2}\left(\underline{a}, g_{1}(\underline{a}, b)\right)\right) .
$$

Finally, let the last inference be a $\Sigma_{0}^{b}-L I N D$ of the form

$$
\frac{\exists x \leq s B(\underline{a}, x), A(\underline{a}, b) \Longrightarrow A(\underline{a}, S b), \exists y \leq t C(\underline{a}, y)}{\exists x \leq s B(\underline{a}, x), A(\underline{a}, 0) \Longrightarrow A(\underline{a},|c|), \exists y \leq t C(\underline{a}, y)},
$$

then by the induction hypothesis we have a function $g$ in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$ such that

$$
d \leq s, B(\underline{a}, d), A(\underline{a}, b) \Longrightarrow A(\underline{a}, S b), g(\underline{a}, d, b) \leq t \wedge C(\underline{a}, g(\underline{a}, d, b))
$$

is true. What we need is a function $h$ such that

$$
d \leq s, B(\underline{a}, d), A(\underline{a}, 0) \Longrightarrow A(\underline{a},|c|), h(\underline{a}, d, c) \leq t \wedge C(\underline{a}, h(\underline{a}, d, c))
$$

is true. Define the function $h(\underline{a}, d, c):=g(\underline{a}, d, \mu x<|c| g(\underline{a}, d, x) \leq t \wedge C(\underline{a}, g(\underline{a}, d, x)))$. Then there are two cases:

- There is an $x<|c|$ with $g(\underline{a}, d, x) \leq t \wedge C(\underline{a}, g(\underline{a}, d, x))$. In this case, $h(\underline{a}, d, c) \leq$ $t \wedge C(\underline{a}, h(\underline{a}, d, c))$ is true.
- For all $x<|c|, g(\underline{a}, d, x) \leq t \wedge C(\underline{a}, g(\underline{a}, d, x))$ is false, hence by the induction hypothesis we can conclude $A(\underline{a},|c|)$ inductively from $A(\underline{a}, 0)$.

In either case, the sequent above is true.
Corollary 10 Every function $p \Sigma_{1}^{b}$-definable in $R_{2}^{0}\left[f_{1}, \ldots, f_{k}\right]$ is in $\mathcal{C}\left[f_{1}, \ldots, f_{k}\right]$.
This follows immediately from Thm. 9.
Note that the only restriction imposed on the theories $R_{2}^{0}\left[f_{1}, \ldots, f_{n}\right]$ is that the functions $f_{1}, \ldots, f_{n}$ are axiomatized by quantifier-free axioms. Thus Thm. 9 and its corollary apply e.g. to the theories $R_{k}^{0}$ for $k>2$, where $R_{k}^{0}:=R_{2}^{0}\left[\#_{3}, \ldots, \#_{k}\right]$ and the functions $\#_{i}$ are defined by $\#_{2}:=\#$ and $x \#_{i+1} y:=2^{|x| \#_{i}|y|}$.

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[^0]:    ${ }^{1}$ This consequence of Thm. 6 was pointed out by the referee.

